



**STATEMENT OF PROCEEDINGS
FOR THE SPECIAL MEETING OF THE
LOS ANGELES COUNTY AUDIT COMMITTEE
VIRTUAL MEETING**

Tuesday, September 14, 2021

10:30 AM

AUDIO FOR THE ENTIRE MEETING. (21-3637)

Attachments: [AUDIO](#)

I. ADMINISTRATIVE MATTERS

1. Call to Order. (21-2944)

The meeting was called to order by Chair Tamela Omoto-Frias, First District, at 10:32 a.m. and roll call was conducted.

Present: Chair Tamela Omoto-Frias, Vice Chair Kirk Shelton, Member Angelica Ayala, Member Mark Baucum and Member Michelle Vega

2. Approval of the August 18, 2021 Meeting Minutes. (21-2955)

Tamela Omoto Frias, First District, asked for a revision on Agenda Item No. 26.

On motion of Kirk Shelton, seconded by Tamela Omoto Frias, and unanimously carried, this item was approved as amended.

Attachments: [SUPPORTING DOCUMENT](#)

3. Public Comment. (21-2956)

No members of the public addressed the Committee.

Attachments: [Public Comment/Correspondence](#)

II. CONSENT CALENDAR

All items approved by one motion unless held.

4. A-C - Semi-Annual Notification of Audits and Contract Monitoring Reviews Conducted by Entities other than the Auditor-Controller, January 1 - June 30, 2021:

2 Audits and Contract Monitoring Reviews
0 High-Priority Findings (21-3400)

On motion of Angelica Ayala, seconded by Tamela Omoto-Frias, and unanimously carried, this item was received and filed.

Attachments: [SUPPORTING DOCUMENT](#)

5. DA - Semi-Annual Notification of Audits and Contract Monitoring Reviews Conducted by Entities other than the Auditor-Controller, January 1 - June 30, 2021:

3 Audits and Contract Monitoring Reviews
0 High-Priority Findings (21-3328)

On motion of Angelica Ayala, seconded by Tamela Omoto-Frias, and unanimously carried, this item was received and filed.

Attachments: [SUPPORTING DOCUMENT](#)

6. DHR - Semi-Annual Notification of Audits and Contract Monitoring Reviews Conducted by Entities other than the Auditor-Controller, January 1 - June 30, 2021:

156 Audits and Contract Monitoring Reviews
0 High-Priority Findings (21-3290)

On motion of Angelica Ayala, seconded by Tamela Omoto-Frias, and unanimously carried, this item was received and filed.

Attachments: [SUPPORTING DOCUMENT](#)

7. ISD - Semi-Annual Notification of Audits and Contract Monitoring Reviews Conducted by Entities other than the Auditor-Controller, January 1 - June 30, 2021:

44 Audits and Contract Monitoring Reviews
0 High-Priority Findings (21-3401)

On motion of Angelica Ayala, seconded by Tamela Omoto-Frias, and unanimously carried, this item was received and filed.

Attachments: [SUPPORTING DOCUMENT](#)

8. Probation- Semi-Annual Notification of Audits and Contract Monitoring Reviews Conducted by Entities other than the Auditor-Controller, January 1 - June 30, 2021:

199 Audits and Contract Monitoring Reviews
0 High-Priority Findings (21-3292)

On motion of Angelica Ayala, seconded by Tamela Omoto-Frias, and unanimously carried, this item was received and filed.

Attachments: [SUPPORTING DOCUMENT](#)

9. Summary Of The DPH's Substance Abuse Prevention And Control Service Providers' Fiscal Monitoring For Fiscal Year 2018-19 - Master Agreement Work Order 8-79A. (21-3329)

On motion of Angelica Ayala, seconded by Tamela Omoto-Frias, and unanimously carried, this item was received and filed.

Attachments: [SUPPORTING DOCUMENT](#)

10. DPH-Improvement Opportunities Noted During Limited Review - #2018-14884. (21-3327)

On motion of Angelica Ayala, seconded by Tamela Omoto-Frias, and unanimously carried, this item was received and filed.

Attachments: [SUPPORTING DOCUMENT](#)

11. DRP - Semi-Annual Notification of Audits and Contract Monitoring Reviews Conducted by Entities other than the Auditor-Controller, January 1 - June 30, 2021:

18 Audits and Contract Monitoring Reviews
0 High-Priority Findings (21-3362)

On motion of Angelica Ayala, seconded by Tamela Omoto-Frias, and unanimously carried, this item was received and filed.

Attachments: [SUPPORTING DOCUMENT](#)

12. LASD - Semi-Annual Notification of Audits and Contract Monitoring Reviews Conducted by Entities other than the Auditor-Controller, January 1 - June 30, 2021:

38 Audits and Contract Monitoring Reviews
0 High-Priority Findings (21-3336)

On motion of Angelica Ayala, seconded by Tamela Omoto-Frias, and unanimously carried, this item was received and filed.

Attachments: [SUPPORTING DOCUMENT](#)

III. ITEMS HELD FOR DISCUSSION

- 13.** Recommendation by the Third District to request that the Auditor-Controller conduct a fiscal and programmatic audit of the Department of Health Services' Office of Reentry and Diversion (ODR), to assess ODR's financial and programmatic practices and policies in order to ensure that proper assessment and accountability measures have been implemented. If the audit includes comparisons to contracts from other County departments, Auditor-Controller should ensure that the relative scopes of service, terms, and rates of each contract are considered in the analysis. The scope of ODR has gradually increased since ODR was created pursuant to a Board motion on November 2015. The Board has committed to identifying adequate funding for ODR's current programs with the ultimate goal of greatly expanding ODR's scope. (21-3402)

Angela Ayala, Third District, introduced the item and provided a brief background on the inception of the Office of Diversion Reentry (ODR) and its delegated authority. Ms. Ayala noted that this motion will ensure that the dollars are still supporting the people needed to be supported and that practices and policies are being improved where applicable.

In response to questions posed by Esther Lim, First District, Justice Deputy, Ms. Ayala confirmed that the intent of the review is to look at every program. Additionally, the motion is written broad enough to include how ODR collects and tracks data. Ms. Ayala noted that ODR will continue to grow and looking at current contracting procedures is critical to ensure that lessons can be learned and applied in the future. Mike Pirolo, Auditor Controller (A-C), indicated that A-C will try to refine the scope of the review based on the motion and will be in contact with each Board office to gather specifics on what they are looking for. A-C will need to identify audits to postpone to accommodate this motion. The ODR review will be completed closer to a one-year mark.

Each member expressed their Board Office's support for this motion.

On motion of Angelica Ayala, seconded by Tamela Omoto Frias, and unanimously carried by the following vote, this item was approved.

Ayes: 5 - Chair Tamela Omoto-Frias, Vice Chair Kirk Shelton, Member Angelica Ayala, Member Mark Baucum and Member Michelle Vega

14. Board Policy No. 9.015 - County Policy of Equity, recommendation by the Executive Office of the Board and DHR, to review changes and extend the sunset review date to October 1, 2023; also consider if the revisions require Board approval. (21-2105)

Vickey Bane, Executive Director, County Equity Oversight Panel, provided an overview of the proposed changes to the policy and other proposed amendments to be in conformance with the legal changes to the California Fair Employment and Housing Act.

In response to questions posed by the Committee, Ms. Bane confirmed that relocating “gender identity” and “gender” expressions” to the Gender section of the policy, it will remain a standalone projected characteristic. Also, non-jurisdictional incidents can include members of the public attempting to seek address through the County process to complain against a private business unrelated to the County.

Ben Stormer, EO, clarified that the broadness of the policy will not limit the scope of the policy. He explained that there may be instances where there is a third-party contractor in the County workplace that the County cannot control and would not have any legal liability over; therefore, the term “certain third parties” would apply. Mr. Stormer also explained that reasonable standards encompass all types of complaints in all types of circumstances.

On motion of Mark Baucum, seconded by Tamela Omoto Frias, and unanimously carried by the following vote, this item was approved. The departments were directed to submit the policy to the Board of Supervisors within 60 days for final action and approval.

Ayes: 5 - Chair Tamela Omoto-Frias, Vice Chair Kirk Shelton, Member Angelica Ayala, Member Mark Baucum and Member Michelle Vega

Attachments: [SUPPORTING DOCUMENT](#)

15. FD and LASD - Helicopter Operations Efficiency Review (Report #K19GS) - First

and Final Follow-Up Review. (21-3398)

Jeremy Drake, A-C, provided an overview of the report noting the recommendations were fully implemented by The Fire Department (FD) and Sheriff's Department (LASD) since the issuance of this report. FD had conducted an analysis of parts to see if there were any vendors they could make agreements with. Both FD and LASD together created an agreement with Spectrolab.

Alan Butler, LASD, indicated that LASD conducted an analysis against FD's vendor list, but since FD and LASD operate completely different manufactured aircrafts, there were very few vendors that could be used together.

The Committee thanked the departments for following up with the recommendation.

On motion of Michelle Vega, seconded by Tamela Omoto Frias, and unanimously carried by the following vote, this item was received and filed.

Ayes: 5 - Chair Tamela Omoto-Frias, Vice Chair Kirk Shelton, Member Angelica Ayala, Member Mark Baucum and Member Michelle Vega

Attachments: [SUPPORTING DOCUMENT](#)

- 16. DPW - Improvement Opportunities Noted During Limited Review - #2018-14463. (21-3399)**

Graciela Soto, A-C, provided an overview of the report noting five areas of improvement. The Department of Public Works (DPW) is currently working on implementing the recommendations and should be implemented by end of year. A-C will conduct a follow up review within six months to validate the corrective actions.

In response to questions posed by the Committee, Ms. Soto confirmed that the review was initiated through the Office of County Investigations' fraud hotline. She also explained that the A-C recommendations are broad enough that it can be implemented across the board and that there has not been any additional fraud reported from any other field office. Additionally, Emma Ayala, DPW, noted that the department has several divisions, and each division has several field offices. Ms. Ayala explained that field offices have responsibilities up to a certain limit, after that, it goes through DPW's centralized procurement unit.

Tamela Omoto Frias, First District, expressed her concerns regarding the procurement practices and asked that DPW ensure that recommendations are applied to all field offices throughout the department. Ms. Ayala indicated that DPW is updating all policies that will apply to everyone and that DPW will ensure that there is appropriate controls and oversight moving forward in all procurement offices.

On motion of Kirk Shelton, seconded by Tamela Omoto Frias, and unanimously carried by the following vote, this item was received and filed.

Ayes: 5 - Chair Tamela Omoto-Frias, Vice Chair Kirk Shelton, Member Angelica Ayala, Member Mark Baucum and Member Michelle Vega

Attachments: [SUPPORTING DOCUMENT](#)

IV. MISCELLANEOUS

- 17. Matters not on the Posted Agenda to be Presented and Placed on the Agenda of a Future Meeting. (21-2957)**

Tamela Omoto Frias, First District, welcomed Member Michelle Vega to the Committee.

Michelle Vega, Fifth District, shared that she has been with the County for nine and a half years and is looking forward to working with everyone.

- 18. Adjournment. (21-2958)**

There being no further business to discuss, the meeting was adjourned at 11:07 a.m.